



भारत सरकार Government Of India
रेल मंत्रालय Ministry Of Railways
(रेलवे बोर्ड) (Railway Board)

No. 2017/CE-I/WP/2018-19/1pt.

Delhi, dated 22.03.2018

As per list attached.

Sub: Procedure to be followed for Execution of Umbrella Works under different Plan Heads.

1. Background: Pink Book of 2018-19 has been published. The Pink Book 2018-19 contains a few umbrella works which were finalized based upon the lists of works submitted by Zonal Railways and demands received from various sources. These umbrella works are of two types :

- (i) Umbrella works containing provision to execute works on **one** Railway/PU.
- (ii) Umbrella works containing provision to execute works on **more than one** Railway/PU.

2. The following procedure has been approved by Board (ME,FC,CRB) to deal with umbrella works:

A. Umbrella works under Plan Head 11 (New Line), 14 (Doubling), 15 (Gauge Conversion), 31 (Track Renewals) and Plan Head 35 (Electrification Works):

(i) For New Line, Gauge Conversion & Railway Electrification, the list of works to be carried out under umbrella work shall be finalised by the Ministry by following due extant procedure. The same shall be communicated to Zonal Railway for preparation of DPR so that work can be processed for formal sanction through EBR/CCEA etc. as the case may be in terms of Office Order 1 of 2017 issued vide No. 2015/O&M/5/4, dated 02.01.2017.

(ii) General Managers of Zonal Railways shall send DPR of works to concerned Additional Member/PED. The DPR shall also contain the requirement of additional manpower for operation & maintenance of assets so created. In case of Track Renewal works, detailed justification, as required now, shall have to be provided by the Zonal Railway and finally works shall be shortlisted by Board.

(iii) The DPRs received from the Zonal Railway will be scrutinized and approved in the same way as Railway Projects are scrutinized and approved.

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- (iv) At the end of financial year, the works so shortlisted and identified shall appear in the Pink Book of next financial year as individual itemized works if formally sanctioned or with '#' if necessary sanction is yet to be obtained. Thus, umbrella works would get replaced by such itemized individual works.

B. Umbrella works under following Plan Heads ;

Plan Head	Plan Head
16 Traffic Facilities	36 Other Electrical Works
17 Computerisation	37 Traction Distribution Works
18 Railway Research	42 Workshops including PUs
29 Road Safety-Level Crossings	51 Staff Quarters
30 Road Safety-ROB/RUB	52 Staff Amenities
32 Bridge Works	53 Passenger Amenities
33 S&T Works	64 Other Specified Works &
65 Training/HRD	

- (i) For works spreading over more than one Zonal Railway/PU, Additional Member/PED of nodal directorate in consultation with other concerned directorates, if any, shall distribute the total cost and outlay provided in Pink Book against umbrella work among respective Railways/PUs on need basis and not on pro-rata division among Zonal Railways/PUs. This distribution shall be communicated to Zonal Railways/PUs by Budget Directorate.
- (ii) In subsequent year Pink Book, this distribution among Zonal Railways/PUs shall be shown as different works in concerned Zonal Railways/PUs giving reference to the original umbrella work.
- (iii) Once the cost is communicated to Zonal Railways/PUs as per (i) above, the Zonal Railway can approve a number of works each costing up to Rs 50 crore (subject to para B(iv) below) by following due procedure and proceed further with preparation of detailed estimate and calling of tenders; in the meantime simultaneously processing as per para B(v) below. However, DPR is to be prepared for individual works costing more than Rs 50 crore and sent to Board for appraisal and approval, duly keeping the provision for the same in the communicated cost.
- (iv) Initially, General Manager of Zonal Railway/PU shall approve different works, total costing upto 60% of cost of umbrella work of their Railway/PU. Works for additional 20% cost of umbrella work shall be approved by General Manager only with the prior approval of Board (CRB) throughout the year as the need arises. The remaining 20% umbrella/sub-umbrella work shall be kept in reserve by nodal directorate to meet emergent situations or excess over estimates of

different works sanctioned under the umbrella work. It shall be the responsibility of nodal directorate in Railway Board to ensure that the detailed estimate of various works which are being sanctioned under the umbrella should not exceed the total cost of the respective umbrella works.

- (v) Since umbrella works shall be converted into individual projects/works, a list of all the works costing more than Rs 2.5 cr under the category of umbrella works would require to be sent by Zonal Railways to Board for obtaining formal approval of Board (CRB) in terms of Office Order 1 of 2017 issued vide No. 2015/O&M/5/4, dated 02.01.2017.
 - (vi) Various works sanctioned under umbrella works, called sub works of particular umbrella, shall be shown separately in LAW Book.
 - (vii) Detailed estimate will be sanctioned for each individual work separately under an umbrella work.
 - (viii) The General Managers will send the list of such works sanctioned under umbrella work to Board quarterly for record and monitoring.
3. Necessary changes in IRPSM software are being carried out for the above purpose.
4. This issues with the concurrence of Finance Directorate of the Ministry of Railways.
5. Please acknowledge receipt.

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Copy forwarded for information to:

1. The FA & CAOs all Indian Railways.
2. The Deputy Comptroller and Auditor General of India (Railways), New Delhi.

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For Financial Commissioner/Railways